

02-12-01



PATENT

Express Mail No.: EI943488280US

Atty. Docket No.: 1030.52AC



Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

Ruth Lecheler-Moore, Tom Serio, Kirven Dunham, and Larry T. Riggs

For (title):

Historical Data Warehousing System

1. Type of Application

This new application is for a(n):

- ☒ Original
- ☐ Design
- ☐ Plant
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-part (CIP)

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date February 8, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EI943488280US addressed to the: Box patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

James M. Ritchey

(Type or print name of person mailing paper)

(Signature of person mailing paper)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

☐ The application being transmitted claims the benefit of Prior U.S. application(s).

☐ Amend the specification by inserting before the first line after the title of the invention the following:

--CROSS-REFERENCE TO RELATED APPLICATIONS

This is a ☐ continuation

☐ divisional

☐ continuation-in-part of copending application(s)

☐ serial number filed on .--

☐ International application filed on and which designated the U.S.--

3. Particulars of Prior Application

A Application Serial No. filed on (date).

B. Title (as originally filed) and as last amended on .

C. Name of applicant(s) and current correspondence address of applicant(s)

Name:

Residence:

Country of Citizenship:

P.O. Address:

4. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.53(Design) Application

54 Pages of specification

5 Pages of claims

1 Pages of Abstract

7 Sheets of drawing

X formal

☐ informal

5. **Additional papers enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☒ Form PTO - 1449
- ☐ Citations
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

6. **Declaration or Oath**

- ☐ Enclosed
- executed by:
- ☒ inventor(s)
 - ☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43
 - ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
 - ☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. (See item 13 below for fee.)

7. **Inventorship Statement**

The inventorship for all the claims in this application are:

- ☒ The same

or

- ☐ Are not the same. An explanation, including the ownership of the various claims at the time the **last** claimed invention was made,
 - ☐ is submitted.
 - ☐ will be submitted.

8. Language

X English

— non-English

— the attached translation is a verified translation. 37 CFR 1.52(d).

9. Assignment

X An assignment of the invention to: Output Technology Solutions, Inc., 1100 Investment Blvd., El Dorado Hills, CA 95762-5710, a Missouri Corporation

— is attached.

X will follow.

10. Certified Copies

Certified copy(ies) of application(s)

_____	_____	_____
(country)	(appln.no)	(filed)
_____	_____	_____
(country)	(appln.no)	(filed)
_____	_____	_____
(country)	(appln.no)	(filed)

from which priority is claimed

— is(are) attached. A separate "ASSIGNMENT COVER LETTER ACCOMPANYING NEW PATENT APPLICATION" is also attached.

— will follow.

11. Fee Calculation (37 CFR 1.16)

A. ☒ Regular Application

CLAIMS AS FILED

	Number filed	Number Extra	Rate	Basic Fee
				\$ 710.00
Total				
Claims 37 CFR 1.16(c)	11	- 20 = 0	X \$18.00	= _____
Independent				
Claims (37 CFR 1.16(b))	5	- 3 = 2	X \$80.00	= \$160.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))			\$270.00	= _____

- ☐ Amendment canceling extra claims enclosed.
- ☐ Amendment deleting multiple-dependencies enclosed.
- ☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation **\$ 870.00**

B. ☐ Design application
(\$320.00 - 37 CFR 1.16(f))
Filing Fee Calculation \$ _____

C. ☐ Plant application
(\$490.00 - 37 CFR 1.16(g))
Filing Fee Calculation \$ _____

12. Small Entity Statement(s)

- ☐ This is a filing by a small entity.
- Filing Fee Calculation (50% of **A**, **B** or **C** above) \$ _____

13. Request for International-Type Search (37 CFR 1.104(d))

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

14. **Fee Payment Being Made At This Time**

☐ Not Enclosed
No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can/will be paid subsequently.)

☒ Enclosed

<input checked="" type="checkbox"/>	basic filing fee	\$ <u>870.00</u>
<input type="checkbox"/>	recording assignment (\$40.00; 37 CFR 1.21(h))	\$ _____
<input type="checkbox"/>	petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h))	\$ _____
<input type="checkbox"/>	for processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) and 1.17(k))	\$ _____
<input type="checkbox"/>	processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(l))	\$ _____
<input type="checkbox"/>	fee for international-type search report. (\$40.00; 37 CFR 1.21(e))	\$ _____

Total Fees Enclosed \$ 870.00

15. **Method of Payment of Fees**

☒ Check in the amount of **\$ 870.00**

☐ Charge Account No. _____ in the amount of \$ _____

A duplicate of this transmittal is attached.

16. **Authorization to Charge Additional Fees**

☒ The Commissioner is hereby authorized to charge the following **additional** fees by this paper and during the entire pendency of this application to Account No. 07-1137:

☒ 37 CFR 1.16(a), (f) or (g) (**to cover insufficient filing fees**)

☐ 37 CFR 1.16(b), (c) and (d) (presentation of claims)

☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

☐ 37 CFR 1.18 (application processing fees)

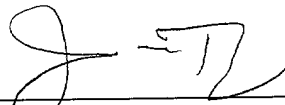
☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

17. Instructions As To Overpayment

☐ credit Account No. _____

☒ refund

February 8, 2001



SIGNATURE OF ATTORNEY

James M. Ritchey, Reg. No. 32,594

O'BANION and RITCHEY

Wells Fargo Center

400 Capitol Mall, Suite 1550

Sacramento, CA 95814

Telephone: (916) 498-1010

☒ **Incorporation by reference of added pages**

☐ Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s) Claimed

Number of pages added _____

☐ Plus Added Pages For Papers Referred To In Item 5 Above

Number of pages added 1

☐ Plus "Assignment Cover Letter Accompanying New Application

Number of pages added _____

☒ **Statement Where No Further Pages Added**

☒ This transmittal ends with this page